DOD PARTICIPATION IN THE NATIONAL EXERCISE PROGRAM (NEP)

DoDI 3020.47

Agenda

- Review of Para 3 of Encl 3 of DoDI 3020.47
  - NEP Exercise After Action Activities
- Information Flow
- Decision Points

NEP Exercise After Action Activities

3. NEP EXERCISE AFTER-ACTION ACTIVITIES

a. Hotwash. After an NEP exercise concludes, participating DoD Components provide hotwash lessons learned to the DASD(HD&DSCA) and the Chairman of the Joint Chiefs of Staff or his or her representative. The DASD(HD&DSCA) and the Chairman of the Joint Chiefs of Staff or his or her representative will provide participating DoD Components with guidelines for the number, format, and timeline of lessons learned for the hotwash. The Chairman of the Joint Chiefs of Staff or his or her representative will invite participating DoD Components to the hotwash meeting or video teleconference. The DoD Components will use the hotwash meeting or video teleconference to decide on the primary DoD lessons learned to forward for the NEP AAR.

b. NEP AAR. The ASD(HD&ASA) and the Chairman of the Joint Chiefs of Staff or their representatives shall provide the primary DoD hotwash lessons learned as DoD AAR input to the DHS NEP ESC.

(1) The Chairman of the Joint Chiefs of Staff or his or her representative shall provide

After an NEP exercise concludes, participating DoD Components provide hotwash lessons learned to the DASD(HD&DSCA) and the Chairman of the Joint Chiefs of Staff or his or her representative.

(3) Once the USD(P) approves release, the DASD(HD&DSCA) shall notify the Chairman of the Joint Chiefs of Staff or his or her representative.

(4) The Chairman of the Joint Chiefs of Staff or his or her representative shall provide

The DoD Components will use the hotwash meeting or video teleconference to decide on the primary DoD lessons learned to forward for the NEP AAR.

lessons learned reports and, by consensus, agree upon high-priority issues consistent with Volume III of the Department of Homeland Security Exercise and Evaluation Program Guide (Reference (i)).

The DASD(HD&DSCA) shall review the lessons learned report and provide it to the ASD(HD&ASA). The ASD(HD&ASA) shall provide the lessons learned report to the USD(P) for approval to release to interagency partners outside the Department of Defense in accordance with applicable guidance regarding the distribution of classified and other DoD information

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b. NEP AAR. The ASD(HD&ASA) and the Chairman of the Joint Chiefs of Staff or their representatives shall provide the primary DoD hotwash lessons learned as DoD AAR input to the DHS NEP ESC.

(1) The Chairman of the Joint Chiefs of Staff or his or her representative shall provide

The DHS NEP ESC, including DASD(HD&DSCA) and the Chairman of the Joint Chiefs of Staff or his or her representative, shall review all participating Department and Agency lessons learned reports and, by consensus, agree upon high-priority issues consistent with Volume III of the DHS Exercise and Evaluation Program Guide

High-priority issues from the lessons learned reports are collected by DHS into an NEP exercise AAR. Issues requiring Department and Agency improvements shall be collected in an NEP exercise IP and entered into the DHS Corrective Action Program.

The E&E sub-PCC, DRG PCC, and/or the HSC DC will determine the lead Department or Agency to take corrective action and oversee implementation for each issue area identified by the AAR and IP

DOD Exercise After Action Activities

(DRG PCC). The E&E sub-PCC and DRG PCC review the AAR and IP on behalf of the Homeland Security Council (HSC) Principals Committee and Deputies Committee (DC).

(8) In accordance with Reference (i), the E&E sub-PCC, DRG PCC, and/or the HSC DC will determine the lead Department or Agency to take corrective action and oversee implementation for each issue area identified by the AAR and IP.

(9) Department and Agency corrective actions are implemented and monitored in accordance with Reference (i).

c. DoD AAR. The DoD AAR shall be conducted under the GOSC and the CJCS Joint Lessons Learned Program (JLLP) using the Joint Lessons Learned Information Systems (JLLIS).

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The DASD(HD&DSCA) and CJCS or representative shall confer and provide high-priority lessons learned for DOD from NEP exercises to the GOSC for review and resolution. In addition, NEP corrective actions assigned to the Department of Defense by the E&E sub-PCC, DRG PCC, and/or the HSC DC will be forwarded to the GOSC for review and resolution

(c) During each subsequent GOSC meeting, the GOSC will review ongoing

The GOSC will designate an office of primary responsibility (OPR) and, in coordination with the DASD(HD&DSCA), assign a timeframe to the OPR to take correctiveactions.

(2) CJCS JLLP and JLLIS. DoD Components shall report all lessons learned from NEP exercises into JLLP using JLLIS in accordance with Reference (h).

For corrective actions assigned to the Department of Defense by the E&E sub-PCC, DRG PCC, and/or the HSC DC, the GOSC, through the DASD(HD&DSCA) and the Chairman of the Joint Chiefs of Staff or his or her representative, shall forward the OPR and timeline for implementation back to the E&E sub-PCC, DRG PCC, and/or the HSC DC.

DOD Exercise After Action Activities

(DRG PCC). The E&E sub-PCC and DRG PCC review the AAR and IP on behalf of the Homeland Security Council (HSC) Principals Committee and Deputies Committee (DC).

(8) In accordance with Reference (i), the E&E sub-PCC, DRG PCC, and/or the HSC DC will determine the lead Department or Agency to take corrective action and oversee implementation for each issue area identified by the AAR and IP.

(9) Department and Agency corrective actions are implemented and monitored in accordance with Reference (i).

c. DoD AAR. The DoD AAR shall be conducted under the GOSC and the CJCS Joint Lessons Learned Program (JLLP) using the Joint Lessons Learned Information Systems (JLLIS)

***GOSC* will review ongoing corrective actions to ascertain each OPR's progress for its corrective actions. The *GOSC* shall determine when a corrective action may be considered complete and closed**

(a) The GOSC will designate an office of primary responsibility (OPR) and, in

DoD Components shall report all lessons learned from NEP exercises into JLLP using JLLIS

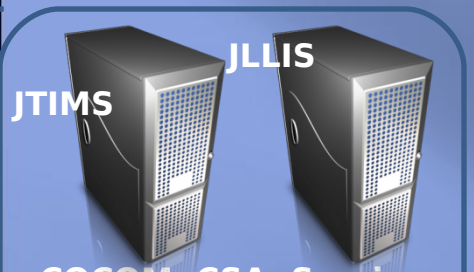
Chairman of the Joint Chiefs of Staff or his or her representative, shall forward the OPR and

Combatant Commands (CCMDs), Combat Support Agencies (CSAs), and the Military Departments (Services) participating in an NEP exercise should collect performance observations in the Joint Training Information Management System … Each performance observation that a CCMD, CSA, or Service considers a lesson learned should be included in the JLLP through JLLIS

Military Departments (Services) participating in an NEP exercise should collect performance

The CCMDs, CSAs, and Military Departments (Services) must assign corrective actions to one of their components along with a responsible POC in that component for each lesson learned they enter into the JLLP through JLLIS

After Action Process per DoDI 3020.47



GOSC Update via CJCS

GOSC

GOSC Tracking

OPR and OCR Assignment and Corrective Action Timeline

DoD AAR Process

E&E sub-PCC, DRG PCC, HSC DC

CJCS

DASD (HD&DSCA)

GOSC

NEP Improvement Plan (IP) and DHS Corrective Action Program (CAP)

NEP AAR Process

DHS NEP ESC

DHS

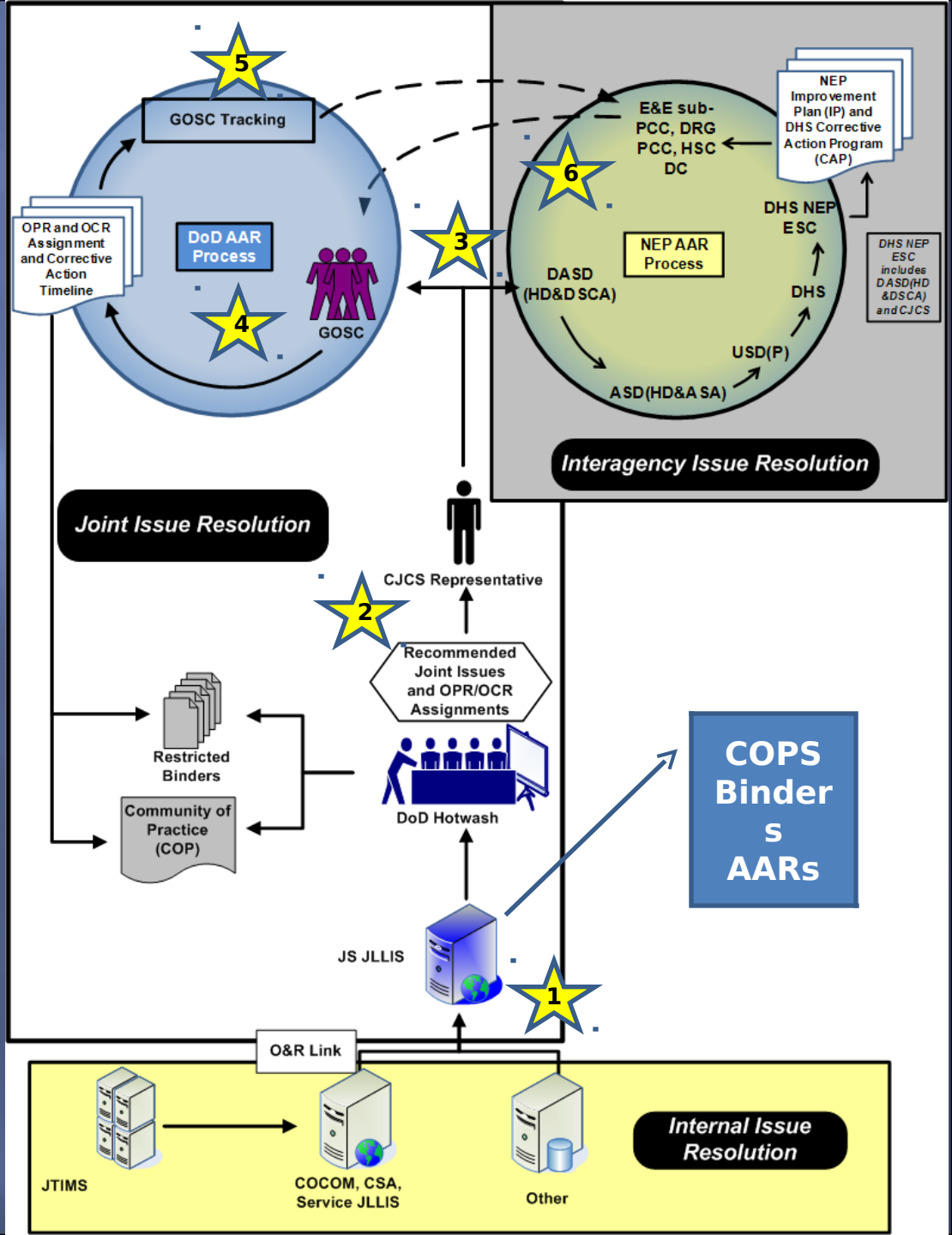
USD(P)

ASD(HD&ASA)

DHS NEP ESC includes DASD (HD&DSCA) and CJCS

Decision Points

1. **COCOM, CSA, Service submit issues with joint applicability to JS JLLIS**
2. **JS (ESC) approves joint issues for formal resolution**
3. **JS (ESC) routes approved joint issues accordingly**
4. **DOD issues submitted to GOSC and assigned OPRs/OCRs**
5. **GOSC approves completion of issues, updates NEP if necessary**
6. **Issues from NEP requiring DOD action submitted to GOSC**



NLE 09

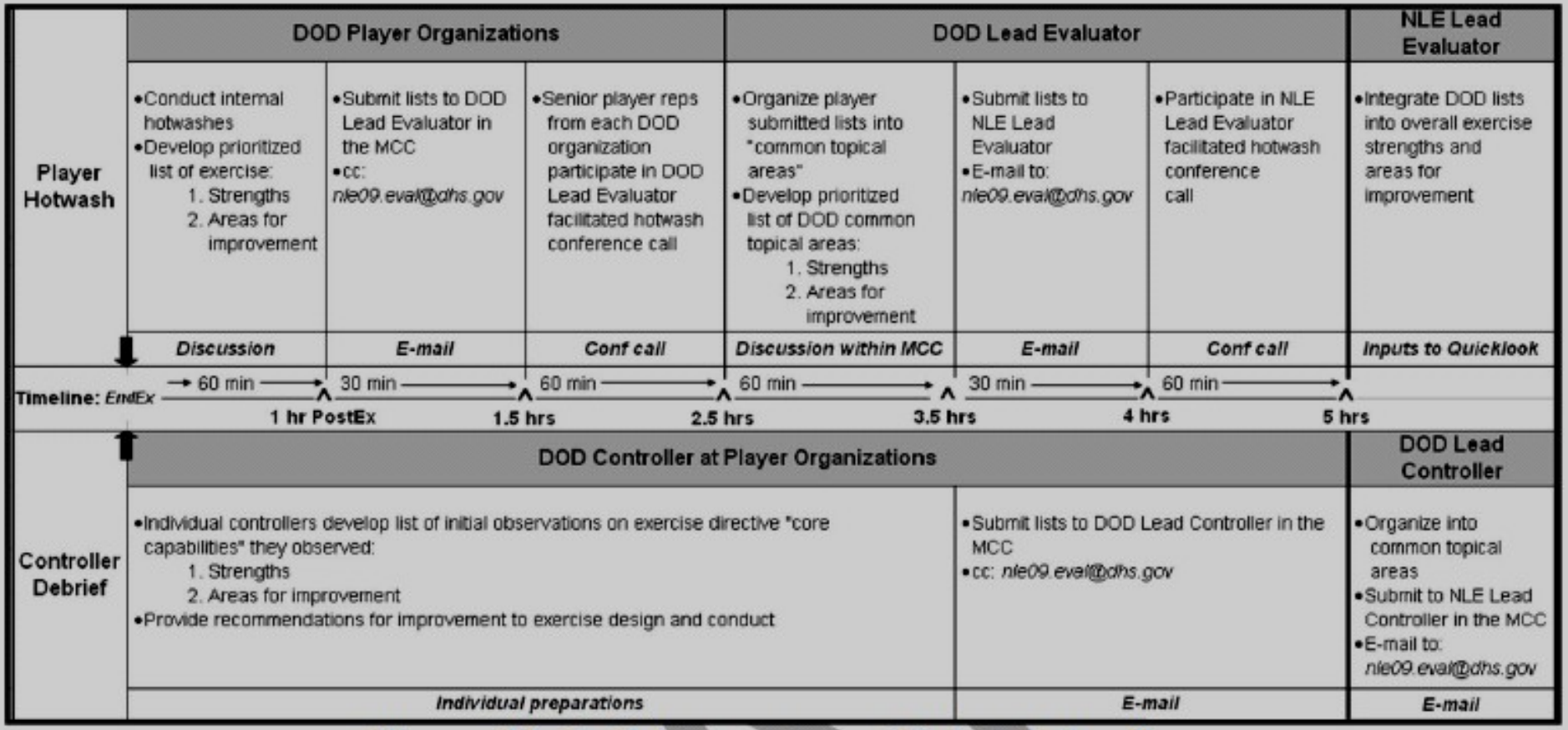


Figure 34: DoD Hotwash and Debrief Timeline

This does not seem to align with DODI 3020.47

GAO Recommendations

- Secretary of Defense direct USNORTHCOM Commander to establish and publicize valid and easily accessible procedures for non-DOD exercise participants to submit observations relevant to USNORTHCOM, such as placing a template on USNORTHCOM's publicly accessibl;e website or the DHS's Homeland Security Information Network (HSIN), so that USNORTHCOM officials have a clear, secure avenue to obtain observations and assess potential lessons learned that originate with its exercise partners.

GAO Recommendations

- Secretary of Defense direct the CJCS, in consultation and coordination with DHS, to either resolve information assurance issues so that the combatant commands, including USNORTHCOM, can [post Exercise Summary Reports with lessons learned and observations from the NEP exercises on DHS's Lessons Learned Information System to make them easily accessible to interagency partners and states or publish an alternative method to systematically collect and share lessons learned.

GAO Recommendations

- Secretary of Defense direct the CJCS to revise the joint lessons learned operating instruction to include procedures to ensure that appropriate corrective actions are implemented and verified in a subsequent exercise or operation before being closed and that the reasons for closure are documented.

GAO Recommendations

- Secretary of Defense revise the instruction on DOD participation in the NEP and/or direct the CJCS to revise the operating instruction regarding DOD participation in the NEP to provide the general conditions under which the combatant commands are expected to follow the Homeland Security Exercise and Evaluation Program (HSEEP) planning and documentation requirements or the DOD's Joint Training System should be modified for those civil support exercises.

Summary

- DODI 3020.47 specifies the after action process for DOD participating in NEP exercises
- JTIMS and JLLIS existing capabilities support these processes
- DODI 3020.47 could be extrapolated into any situation requiring collaboration and coordinated after action processes with the interagency community
- A requirement for a single DOD document specifying the after action procedures that incorporates all tools and processes (JTIMS, JLLIS, CAP, Issue Resolution, etc)

Back-up Slides

DOD Participation Challenges in the National Exercise Program

Briefer: Col Fennell

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